# Global Warming and the Weakening of the Tropical Circulation

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#### **Abstract**

This study examines the response of the tropical atmospheric and oceanic circulation to increasing greenhouse gases using a coordinated set of 21st Century climate model experiments performed for the Intergovernmental Panel on Climate Change's 4<sup>th</sup> Assessment Report (IPCC-AR4). The strength of the atmospheric overturning circulation decreases as the climate warms in all IPCC-AR4 models, in a manner consistent with the thermodynamic scaling arguments of Held and Soden (2006). The weakening occurs preferentially in the zonally-asymmetric (i.e., Walker) rather than zonal-mean (i.e., Hadley) component of the tropical circulation and is shown to induce substantial changes to the thermal structure and circulation of the tropical oceans. Evidence suggests that the overall circulation weakens by decreasing the frequency of strong updrafts and increasing the frequency of weak updrafts; although the robustness of this behavior across all models cannot be confirmed due to lack of data. As the climate warms, changes in both the atmospheric and ocean circulation over the tropical Pacific Ocean resemble "El Niño-like" conditions; however, the mechanisms are shown to be distinct from those of El Niño and are reproduced in both mixed-layer and full ocean dynamics coupled climate models. The character of the Indian Ocean response to global warming resembles that of Indian Ocean Dipole Mode events. The consensus of model results presented here are also consistent with recently-detected changes in sea level pressure since the mid-19<sup>th</sup> Century.

#### 1. Introduction

Throughout much of the discussion of global warming, it is often assumed that atmospheric convection will increase as the climate warms. For example, Lindzen (1990) argued that tropical convection would increase in a warmer climate due to the destabilizing effects of increased lower tropospheric moisture. Betts and Ridgway (1989) – see also Betts (1998) - were the first to suggest that convection might actually decrease in a warmer climate, based upon an analysis of the boundary layer equilibrium response to increasing SSTs. Using a one-dimensional radiative convective model, they showed that the rate of moisture increase in the boundary layer, under the assumption of constant relative humidity, outpaced the rate of increase in evaporation and thus necessitated a decrease in the convective mass circulation in the tropics. A study using coupled ocean-atmospheric models also suggested that the convection might decrease in a warmer climate noting that the dry static stability increases at a faster rate than the radiative cooling of the troposphere thus implying a weakening of the subsidence rate (Kuntson and Manabe 1995). Held and Soden (2006) suggested that the slowing of the atmospheric overturning circulation in response to global warming could be understood through the differential response of global mean precipitation and atmospheric humidity to a warming climate.

Some modes of tropical climate variability are fundamentally tied to interactions between the ocean and atmosphere: for example, the El Niño/Southern Oscillation phenomenon (ENSO; *e.g.* Zebiak and Cane 1987, Jin 1999, Wang and Picaut 2004) and the Indian Ocean Dipole/Zonal Mode (IODZM; *e.g.* Saji *et al.* 1999, Webster *et al.* 1999, Yamagata *et al.* 2004). It has been suggested that ocean/atmosphere interactions of similar character to those of ENSO play a fundamental role in the tropical response to increased atmospheric CO<sub>2</sub>, and could act to moderate global warming (Clement *et al.* 1996, Cane *et al.* 1997).

We use the archive of coupled climate models results organized by the Program for Climate Model Diagnosis and Intercomparison (PCMDI) for the Fourth Assessment Report of the Intergovernmental Panel on Climate Change (IPCC-AR4) to assess the robustness of the atmospheric circulation response. We explore climate change simulations from 22 different coupled climate models integrated with projected changes in well-mixed greenhouse gases and aerosols as prescribed by the IPCC SRES A1B scenario. This scenario corresponds roughly to a doubling in equivalent CO<sub>2</sub> between 2000 and 2100, after which time the radiative forcings are held constant with some of the model integrations continuing for another 100-200 years. To assess the impacts of dynamical ocean changes on the slowdown of atmospheric circulation, we also make use of output from the "slab" mixed-layer ocean coupled model runs. The "slab" runs are a couplet of a control and a 2xCO<sub>2</sub> perturbation experiment, in which the implicit ocean dynamics are not allowed to change. Table 1 summarizes the models used here; for each of the models we use only one ensemble member 1.

We show that all the IPCC-AR4 models project a weakening of the atmospheric overturning circulation as the climate warms, and this weakening is driven by changes in the atmospheric hydrologic cycle (e.g., Held and Soden, 2006). The weakening is primarily manifest as a reduction in the zonally-asymmetric overturning of air (i.e. Walker circulation) rather than in the zonal-mean overturning (i.e. Hadley circulation). The projected weakening is also captured in mixed-layer climate model simulations, indicating that ocean dynamics are not critical to this response. However the atmospheric slowdown does impact the thermodynamic and dynamic structure of the tropical oceans. As the formulations used to parameterize convection vary widely among these models, we argue that the consistency of the circulation response does not reflect a convergence of the representation of convective parameterizations. Rather, we show that the weakening of the circulation is consistent with simple thermodynamic and energetic arguments that constrain the global scale response and supports the behavior proposed by Betts and Ridgway (1989), Knutson and Manabe (1995), Betts (1998), Held and Soden (2006) and others.

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<sup>&</sup>lt;sup>1</sup> Usually "run1", except for NCAR-PCM1 where we use "run2" because it is a 300-year integration

### 2. Atmospheric Response

Some aspects of climatic response to increased CO<sub>2</sub> are consistent across the IPCC-AR4 models. Of particular relevance to our study is the global-mean response to increased CO<sub>2</sub> of four such quantities (Fig. 1): surface air temperatures (increase), total column water vapor (increase), precipitation (increase) and upward component of the monthly mean 500 hPa vertical velocity<sup>2</sup> (decrease). The results described in Sections 2.1 and 2.2 revisit and build on the analysis of Held and Soden (2006), to which the reader is referred for a more comprehensive discussion.

### 2.1. Temperature and Water Vapor

All models show an increase in surface air temperature ranging from roughly 2-4K by year 2200 (forcing changes remain constant after year 2100). The total column water vapor also increases in all models. Because the mixing ratio of water vapor decreases rapidly with height, the column-total is heavily weighted by boundary layer moisture. Much of the inter-model spread in the water vapor response stems from the differences in temperature response between models: models with larger warming also exhibit greater lower tropospheric moistening.

There is a strong coupling between water vapor and temperature changes in Scenario A1B of these models (Fig. 2.a). While the moistening does vary from model to model, all models exhibit a nearly linear relationship between total column water vapor and surface temperature. The rate of this increase is ~7.5%/K which is consistent with that expected from an increase in vapor pressure under the assumption of a constant relative humidity. These model results are also consistent with observational estimates in indicating that global-mean relative humidity changes are slight (e.g., Wentz and Schabel 2000, Trenberth et al. 2005, Soden et al. 2005). Although there can be significant regional changes in

<sup>&</sup>lt;sup>2</sup> Upward atmospheric vertical velocity at 500 hPa is computed by integrating the monthly-mean pressure velocity over all model gridpoints that have ascending motion.

relative humidity (*e.g.*, Vecchi and Soden 2007), the global mean behavior closely resembles that expected from Clausius-Clapeyron (C-C) arguments.

### 2.2. Precipitation and Convective Mass Flux

The fractional changes in precipitation Fig. 1.c also increases in all models, with considerable variability from model to model. More importantly, the magnitude of the precipitation is roughly 1-2%/K, much weaker than the rate of atmospheric water vapor increase (Fig. 2.b).

The difference between the rate of moistening and rate of precipitation increase requires that the rate of overturning in the atmosphere weaken as the climate warms (Held and Soden, 2006). Following Held and Soden (2006), we approximate the global mean precipitation as,  $P = M_{c}q$  where P is the precipitation,  $M_{c}$  is the convective mass flux and q is a typical boundary layer mixing ratio. This assumes that precipitation is generated by air being carried from the boundary layer into the free troposphere where most of the vapor condenses and falls out as precipitation. Because the mixing ratio at the level of detrainment is at least an order of magnitude smaller than that in the boundary layer, the return flow of vapor into the boundary layer by large-scale subsidence is negligible.

We estimate the change in  $M_c$  from P and q, assuming that q follows C-C scaling at 7%/K and taking P from the model:

$$\Delta M_c'/M_c' = \Delta P/P - 0.07\Delta T \tag{1}$$

the "prime" indicates this is an *estimate* of the mass flux, rather than the actual quantity. For CM2.1, the estimated mass flux agrees closely with that explicitly simulated by the model (Fig. 3.a), substantiating the arguments outlined above and suggesting that one can estimate the change in convective mass flux in the other models using Eq. (1). To the extent that Eq. (1) is a valid approximation for other IPCC-AR4 models, the mass flux decreases in all models, with a range of 10-20% by the year 2100 (Fig. 3.b). The relatively large range in convective weakening reflects both the differing climate sensitivities among models (Fig 1.a), as well as the range in precipitation response per unit warming of the surface (Fig.

1.c). In models with weaker precipitation response the atmospheric overturning must spin-down more, given the C-C rate of increasing vapor (~7%/K). The reduced convective mass flux implies a corresponding reduction in the rate of radiatively-driven subsidence in the tropics, which has also been noted in previous modeling studies (Knutson and Manabe 1995, Larson *et al.* 1999, Zhang and Song 2006).

### 2.3. Surface and Atmospheric Radiation

Given the importance of precipitation in determining the response of the atmospheric circulation, we now consider the linkages between global precipitation and the energy cycle. Global scale changes in precipitation require compensating changes in radiative heating of the surface and troposphere, as is illustrated in Figures 2.c and 2.d. The energy surface radiative energy balance was computed only for those models in which the necessary radiative fields were available from the AR4 archive. As noted above,  $\Delta P$  in these models shows little correlation to the change in global-mean temperature or water vapor. In contrast, the global-mean precipitation response approximately balances  $\Delta R_{\rm sfe}$  (Fig. 2.c) and  $\Delta R_{\rm atm}$  (Fig. 2.d), with considerable variation from model to model. Models with a larger increase in  $R_{\rm sfe}$  respond with a proportionate increase in evaporative cooling (and thus precipitation). A similar conclusion is obtained if one considers the balance between radiative cooling of the atmosphere and the release of latent heat from precipitation (Fig. 2.d). Thus, attempts to understand global mean precipitation response to a warmer climate must address its coupling to the radiative energy budget. The role of surface radiation in determining the global mean precipitation response has been noted in many previous studies (e.g. Boer 1993, Soden 2000, Allen and Ingram 2002, Held and Soden 2006).

Modeling experiments with tropical mesoscale models also indicate that atmospheric radiative cooling increases more slowly than the atmospheric moisture in response to warming (e.g., Larson and Hartmann 2003). However, the relative importance of various feedback processes (e.g. cloud, surface albedo, water vapor, etc) in determining the surface radiation response remains unclear. Likewise, it is

not obvious why the surface radiation increases more slowly than the rate of atmospheric moistening. If the surface radiation increased at a faster rate than C-C, the arguments outlined above would imply a strengthening of the atmospheric circulation rather than a weakening.

## 2.4. Mid-Tropospheric Vertical Velocity

In Section 2.2 we argued, based on the model precipitation and water vapor changes that convective mass flux should weaken in all the models. Unfortunately, we do not have access to the convective mass fluxes from most of the models in the PCMDI/AR4 Archive to test the robustness of this result directly. However, mid-tropospheric lagrangian pressure tendency<sup>3</sup> ( $\omega$ , a measure of atmospheric vertical velocity), integrated over ascending regions, weakens in all models as the climate warms (Fig. 1.d). These results are qualitatively consistent with the implied changes in circulation from the precipitation and water vapor fields (see Figs. 2 and 3). Both the inferred changes in convective mass flux (Section 2.2) and explicitly simulated change in monthly-mean upward  $\omega^+_{500}$  indicate that the circulation weakens as the climate warms.

There is a strong correlation across models (r=0.86) between the reduction in  $M_c$ ' and  $\omega^+_{500}$  (Fig. 4.a). Changes are computed by differencing the means from the first 20 years and last 20 years of the integration (2081 to 2100)-(2001 to 2020). Models with a larger reduction in the  $M_c$ ' also exhibit a larger reduction in  $\omega^+_{500}$ , As  $M_c$ ' is estimated from the changes in P and SST, this further supports the basic premise that the slower increase of precipitation relative to water vapor should lead to a weakening of the circulation.

The fractional reductions of  $\omega^+_{500}$  are much smaller than those of  $M_c$ , the most sensitive models have up to a 20% weakening for  $M_c$ , but only a ~10% weakening for  $\omega^+_{500}$ . We hypothesize that this may reflect the presence of non-precipitating sources of upward motion in the mid-troposphere, and that

<sup>&</sup>lt;sup>3</sup> We here use 500hPa pressure velocity, though the principal results are insensitive to the use of other mid-tropospheric levels (*e.g.*, 400hPa or 600hPa).

these non-precipitating events tend to be weak and not associated with moisture transport from the boundary layer (e.g., gravity waves). If we neglect weak values of upward motion (e.g. values of monthly-mean  $\omega^+_{500} < -0.05$  Pa/sec) then, the magnitude of the  $\omega^+_{500}$  reduction is consistent with that inferred from  $M_c$ ', for all but a handful of models. Figure 4.b shows an equivalent plot to Figure 4.a, except for  $\omega^+_{500}$  with upward amplitude in excess of 0.05 Pa/sec; for all but the three GISS<sup>4</sup> models the magnitude of the  $\omega^+_{500}$  reduction in Fig. 4.b is increased relative to that in 4.a, and is comparable to that of  $M_c$ '. This also indicates that the changes in upward vertical velocity are more pronounced for the stronger values of ascent than for the weaker ones. Since the threshold of -0.05 Pa/sec is somewhat arbitrary, for the rest of the manuscript, we use as our circulation metric the change in upward  $\omega^+_{500}$  shown in Figure 4.a. as it is explicitly simulated by the model and well correlated with  $M_c$ '.

We now examine the change in the frequency of occurrence of  $\omega^+_{500}$  as a function of the updraft strength using daily output from the GFDL CM2.1 over the entire globe (Fig. 5). In this model the reduction in the mean  $\omega^+_{500}$  is manifest in the daily data as a reduction in frequency of the strongest upward motions (e.g., percentile bins > 50%) and an increase in frequency of the weakest upward motions (e.g., percentile bins < 50%), with the largest changes occurring at the extremes of the distribution. There is also a reduction in the total number of grid-points with upward  $\omega^+_{500}$ : in GFDL CM2.1, the circulation weakens by producing fewer and less intense updrafts. The reduction in updraft frequency is qualitatively consistent with the "upped ante mechanism" (Chou and Neelin 2004). To the extent to which daily, grid-point  $\omega^+_{500}$  provides a proxy for the dynamical intensity of weather systems, the frequency of the most intense events is projected to decrease in this particular model. The lack of daily vertical velocity fields in the AR4 archive prevents us from investigating the robustness of this

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<sup>&</sup>lt;sup>4</sup> For the three GISS models, outside of regions with strong orographic variations there are few grid-points with upward  $\omega^+_{500}$  larger than 0.05 Pa/sec, this may be because of the relatively low resolution of the atmospheric components of these models.

behavior across other models. The models used here are too coarse to resolve convective updrafts explicitly; thus, they may not be able to represent all changes in the characteristics of convection.

It is important to note, however, that this should not be interpreted as a reduction in the frequency of intense precipitation events; intense precipitation events become more frequent in GFDL CM2.1 as the climate warms. A similar analysis of the statistical distribution of daily precipitation rates (Fig. 5.b) indicates that the frequency of the most intense rain events (*e.g.* 95-100 percentile range) increases; in this model, the boundary layer water vapor increases enough to compensate for the reduced intensity of updrafts and result in a net increase in heavy rain events. Conversely, one need not interpret an increase in extreme precipitation events as an indication of increase in extremes in circulation (as illustrated here by GFDL CM2.1).

# 2.5 Spatial Structure of Circulation Changes

Following Held and Soden (2006) we consider the spatial variance over the tropics of the upward pressure velocity as another measure of the strength of the circulation, and we divide this variance into its zonal mean and zonally-asymmetric (or stationary eddy) components. To the extent that the weakening of the upward vertical velocity is proportional to the pre-existing velocity field, its spatial variance should also decrease at roughly twice the rate of the mean. To verify this, changes in the total, zonal-mean, and stationary eddy variance are computed over the tropics (30°N-30°S) for  $\omega^+_{500}$  by differencing the first and last 10 years of the 21<sup>st</sup> Century. Figure 6 plots the change in total variance versus the change in zonal-mean and stationary eddy variance for each model.

In all models except one, the total spatial variance in upward vertical velocity over the tropics decreases as the climate warms. In all models the reduction in total variance is dominated by a reduction in the zonally-asymmetric component (Fig. 6): the zonally-asymmetric part of the tropical circulation (e.g., Walker cell) weakens more than the zonally-symmetric part (e.g., Hadley cell). The tendency of

the zonally-asymmetric component of the circulation to weaken more than the zonally-symmetric component was noted by Held and Soden (2006) and Lu *et al.* (2007).

A weakening in the Walker circulation is also evident in a map of the multi-model ensemblemean change in mid-tropospheric vertical velocity ( $\omega_{500}$ ) (Fig. 7.b). For each model the change is computed by differencing the decadal-mean  $\omega_{500}$  from the first and last 10 years of the 21<sup>st</sup> Century, normalizing this difference by the model's change in global mean temperature; the results represent the change in vertical velocity per degree global warming. For reference, the ensemble-mean climatology of  $\omega_{500}$  for the first 10 years of the 21<sup>st</sup> Century is also depicted in Figure 7.a.

The  $\omega_{500}$  changes are almost everywhere in opposite sense to the background  $\omega_{500}$ , with the notable exception of the central equatorial Pacific where the eastward shift in convection acts to reinforce the pre-existing ascending motions already found there. A poleward shift of the subtropical subsidence regions is also evident (*e.g.*, Lu *et al.* 2007). The most pronounced changes in  $\omega_{500}$  occur primarily over the tropical Pacific where the ascending air over Indonesia weakens by ~2 hPa/day per K global warming. Likewise, the descending air over the subtropical high-pressure regions in the eastern Pacific weakens at a similar rate. These changes imply a weakening in the zonal overturning of air across the equatorial Pacific at a rate of roughly 5-10% per degree global warming.

The weakening of circulation and eastward shift of convection is also evident in the ensemble mean precipitation response of the models (Fig. 8); the ensemble-mean changes for both fields were computed for the same periods as Fig 7, and normalized by the global mean surface temperature response of each model before averaging. Note that the region of largest fractional increase in precipitation and with relatively large warming in the tropical oceans in the central equatorial Pacific coincides with the region of enhanced upward motion (Fig. 7). Figure 8.c displays the difference between the rate of C-C moistening (7%/K) based on the ensemble-mean surface air temperature change (Figure 8.a) and the ensemble-mean rate of precipitation change (Figure 8.b). The local rate of

precipitation increase is smaller than the rate of atmospheric moistening, except for in the central and eastern equatorial Pacific and the western Indian Oceans, indicating that changes in the atmospheric circulation are acting to reduce the precipitation over most of the model domain. This pattern of "dynamic" precipitation response is also consistent with that obtained by Emori and Brown (2005) based on a compositing analysis of precipitation anomalies using mid-tropospheric vertical velocity.

#### 2.6 Sea level pressure and the Walker Circulation

As argued above, the large-scale weakening of the atmospheric overturning circulation in the tropics occurs principally through the zonally-asymmetric component of large-scale circulation, a dominant component of which is the Pacific Walker circulation. In this subsection, we show that the weakened Walker circulation is also reflected in the model-simulated changes in sea-level pressure (SLP).

There are various measures one can use to assess the strength of the Walker circulation, including 200 hPa velocity potential (*e.g.* Tanaka *et al.* 2004,) and SLP (*e.g.* Walker and Bliss 1932, 1937, Vecchi *et al.* 2006, Zhang and Song 2006). Among the advantages of SLP as a measure of the Walker circulation are that it is a regularly measured both on land at and sea, and that records of it exist into the mid-19<sup>th</sup> Century. Our measure of the Walker circulation is the SLP difference between Eastern Pacific (160°W-80°W, 5°S-5°N) and Indo-West Pacific (80°E-160°E, 5°S-5°N), which we label *dSLP*. Variations in *dSLP* are strongly correlated in time and across models with the strength of equatorial Pacific zonal-mean zonal wind-stress in both observations and models (*e.g.* Clarke and Lebedev 1996, Vecchi *et al.* 2006).

Figure 9.a shows a scatter plot of normalized dSLP change versus normalized  $\omega^{+}_{500}$  change for Scenario A1B of 16 of the IPCC-AR4 models listed in Table 1<sup>5</sup>. We use differences between the first 20

<sup>&</sup>lt;sup>5</sup> Three models are omitted from Figure 9.a because they have extremely deficient Walker circulations, one (GISS E-R) has a reference dSLP is of only 1.6 Pa (observed dSLP is ~180 Pa), and two (CCCMA T47 and CCCMA T63) have a reference dSLP is of the opposite sign as that observed. Three other

and last 20 years of the 21<sup>st</sup> Century as a measure of change in a quantity. The models tend to show a weakening of the Walker circulation in response to CO<sub>2</sub> increase: all but two of the models in Figure 9.a show a weakening of *dSLP* over the first 100 years of Scenario A1B. The two models in Figure 9 that show a strengthening are INM (a 6% increase) and GISS-AOM (a 22% increase) - GISS-AOM has a deficient Walker circulation, with a reference *dSLP* that is less than a third of that observed.

In Figure 9.a it is evident that there is a relationship between the relative changes in  $\omega^+_{500}$  and dSLP across the different models: the models with larger  $\omega^+_{500}$  weakening tend to have a larger weakening in dSLP. The correlation coefficient between  $\Delta\omega^+_{500}/\omega^+_{500}$  and  $\Delta dSLP/dSLP$  is 0.73; intermodel differences in  $\omega^+_{500}$  change explain ~50% of inter-model differences in dSLP change.

The weakening of the large-scale zonal gradient of SLP (dSLP) is a dominant feature of SLP change in the multi-model ensemble-mean response to global warming. Figure 10.a shows a map of the ensemble mean change in SLP ( $\Delta$ SLP) computed following the same method as in Figure 8 for  $\omega^+_{500}$ . There is a clear pattern of decreasing SLP over the eastern tropical Pacific and increasing SLP over the western Pacific/Indonesian region, consistent a weakening of the Walker circulation. The spatial structure of the tropical Pacific SLP changes in Figure 10.a correspond closely with those in the observational record (Vecchi *et al.* 2006, Zhang and Song 2006). In addition to a weakening of the Pacific Walker circulation, the ensemble-mean also exhibits a weakening of the equatorial Indian Ocean zonal SLP gradient.

Overall, these models show a tendency towards a more "El Niño-like" state in the Walker circulation, which is more robust than that found by van Oldenborgh *et al.* (2005; henceforth vOPC-05) for the same models. vOPC-05 used the first Empirical Orthogonal Function (EOF) of tropical Indo-Pacific SLP as a measure of the "El Niño-ness" of the system. This apparent discrepancy between

models (BCCR-CM2.0, CSIRO Mk3.0 and MIUB ECHO-G) were omitted from Figure 9.a and Figure 11 because the atmospheric vertical velocities were not available from the IPCC-AR4 Archive. BCCR-CM2.0 and CSIRO Mk3.0 show a decrease in *dSLP*, while MIUB ECHO-G shows an increase.

vOPC-05 and this paper can be understood by comparing the spatial structure of the EOFs in their Figure 9 with our Figure 10. The ensemble-mean change in SLP from these models (Fig. 10.a) has a narrower meridional structure than the principal pattern of Indo-Pacific SLP variability in these models (shown in Fig. 9 of vOPC-05). So, even though the SLP changes resemble El Niño, in that they show a relaxation of the zonal SLP gradient across the Indo-Pacific (our Figures 9 and 10), the structure of the SLP changes is distinct from the principal pattern of interannual SLP variability.

The Walker circulation response to global warming deviates from that associated with El Niño in another, more fundamental, manner: it does not feed off dynamical changes in the ocean. The weakening of the Walker circulation in response to increased  $CO_2$  is also present in climate models which use a mixed-layer "slab" ocean model; i.e., in models with fixed implicit ocean dynamics. The last column of Table 1 indicates the models for which mixed-layer output was available. Figure 9b<sup>6</sup> and 10b show the corresponding relationships between  $\omega^+_{500}$  and dSLP and the ensemble-mean  $\Delta$ SLP, respectively, for the available slab ocean models. For the slab models, the differences are computed using the equilibrated response from a set of  $2xCO_2$  climate change simulations (averaged over 20 model years).

Dynamical ocean changes are not necessary for the weakening of the Walker circulation in response to a warming climate. In fact, Figure 10.b shows that the response of the SLP gradient across the equatorial Pacific (per unit global warming) is stronger in models without dynamical ocean adjustments (this relationship still holds when Figure 10.a is repeated with only the ten Scenario A1B for which "slab" runs are available). In a warming world, the coupled response to dynamical ocean changes acts to counter the weakening of the Walker circulation induced by atmospheric thermodynamic constraints.

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<sup>&</sup>lt;sup>6</sup> The "slab" version of GISS-ER is plotted in Figure 9.b, even though no equivalent Scenario A1B point is plotted (because of the deficient reference Walker circulation). The GISS-ER point is highlighted with an arrow.

Further comparisons between the response of the ocean mixed-layer "slab" coupled model to doubling CO<sub>2</sub> and those of the fully coupled Scenario A1B models highlight the dominant role of the atmospheric processes to the response of the Walker circulation. The  $\omega^+_{500}$  and dSLP response of the slab and fully coupled versions of the nine models shown in Figure 9.b are strongly correlated: the intermodel correlation of slab and Scenario A1B  $\Delta\omega^+_{500}/\omega^+_{500}$  is 0.72, and the intermodel correlation of slab and Scenario A1B  $\Delta dSLP/dSLP$  is 0.82. Thus, the atmospheric model parameterizations have a dominant control on the response to global warming of both the overall atmospheric overturning circulation and the Walker circulation.

### 3. Oceanic Response

Variations in the intensity of the Walker circulation (as diagnosed from SLP) are tied to changes in the strength of the surface winds in the equatorial Pacific Ocean (*e.g.* Clarke and Lebedev 1996, Vecchi *et al.* 2006). Theoretical considerations, numerical experiments and observations of the response of the equatorial oceans to changes in surface wind suggest certain responses of the equatorial Pacific Ocean to a sustained weakening of the Pacific equatorial easterlies induced by a slowing Walker circulation, among them: *i)* a weakening of the surface westward currents, *ii)* a weakening of equatorial upwelling, *iii)* a relaxation of the east-west thermocline gradient, and *iv)* a reduction of the mean depth of the equatorial thermocline (*e.g.* Cane and Sarachik 1977, Cane 1979, Philander 1981, McPhaden *et al.* 1993, Clarke and Lebedev 1997, Jin 1997, Kirtman 1997, McPhaden 1999, Wittenberg 2002). These are not the only quantities one would expect to be impacted by a slowing Walker circulation, but they offer an illustration of the implications of slowing atmospheric overturning to tropical oceanic circulation.

Figure 11 shows scatter plots of the fractional change in  $\omega_{500}^+$  for each model against the corresponding fractional change in: thermocline zonal slope across equatorial Pacific  $(dZ_T)$ , zonal-mean thermocline depth across equatorial Pacific  $(Z_T)$ , equatorial Pacific zonal-mean surface current  $(U_o)$ , and

equatorial Pacific zonal-mean upper ocean vertical velocity ( $W_o$ ). For each quantity the correlations exceed 0.68, indicating that ~50% of the inter-model variance in the oceanic response can be explained (statistically) in terms of the weakened atmospheric circulation. The atmospheric circulation changes are, in turn, related to the rate of precipitation increase relative to C-C. Thus, to a substantial extent, the response of the equatorial Pacific oceanic circulation to an increase in atmospheric  $CO_2$  is constrained by the response of global precipitation and temperature. In the following sub-sections we explore the changes in each of these oceanic quantities in greater detail by examining their multi-model ensemblemean response, and highlight those features that are consistent with a slowing Walker circulation.

### 3.1 Tropical Ocean Thermal Structure

The dominant feature of the 2001-2100  $Z_T$  changes ( $\Delta Z_T$ ) for the IPCC-AR4 models multi-model ensemble-mean is a shoaling of the western equatorial Pacific  $Z_T$  (Figure 12.a), associated with a reduction of both the zonal-mean  $Z_T$  and the zonal slope of  $Z_T$  ( $dZ_T$ ; see Fig. 13). For our analysis we define the  $Z_T$  as the vertical location of the maximum vertical gradient of monthly-mean temperature. Even though zonal-mean equatorial Pacific  $Z_T$  can be influenced by non-local factors and vary independently from  $dZ_T$  (e.g. Boccaletti et al. 2004, Fedorov et al. 2006), for the IPCC-AR4 models they principally result from a common cause: the inter-model correlation coefficient of  $\Delta dZ_T$  and  $\Delta Z_T$  is 0.82. This  $Z_T$  behavior is consistent with the oceanic response to a long-term, meridionally narrow, weakening of the equatorial easterlies, which should result in both a reduction of the  $dZ_T$  and a reduction in  $Z_T$  (e.g.

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We must define "thermocline depth" ( $Z_T$ ) in order to explore its changes. A common technique is to use the depth of a particular isotherm as a proxy for  $Z_T$  (e.g. Harrison and Vecchi 2001, Zelle et al. 2004); in the tropical Pacific the 20°C isotherm is often used. However, this type of metric is problematic in multi-model climate change analyses because: i) the isotherm that is representative of the thermocline varies from model to model, ii) in a changing climate the isotherms that are representative of the thermocline will change over time, and iii) the change in the isotherm representative of the thermocline is model dependent (a function of, among other aspects, climate sensitivity of the model, diapycnal mixing, etc). An alternative method is to define  $Z_T$  as the vertical location of the maximum in the vertical gradient of temperature. Though at a time-space point the only possible values from this definition are the discrete depths of the vertical coordinate system of each model, when the values are averaged over regions in space and time more subtle changes can be deduced.

Philander 1981, Jin 1997, Clarke and Lebedev 1997, Kirtman 1997, An and Wang 2000, Wittenberg 2002), which both flattens the  $Z_T$  (in response to the equatorial westerlies) and shoals the equatorial  $Z_T$  (from anomalous ocean divergence driven by off-equatorial wind stress curl). The observed evolution of the equatorial Pacific  $Z_T$  and zonal winds over the last 50 years is consistent with their relationship in these model projections for a warming world: as the equatorial Pacific easterly winds have weakened, the west Pacific thermocline has shoaled and the east Pacific thermocline has remained relatively unchanged (Clarke and Lebedev 1997, Vecchi *et al.* 2006). However, the extent to which the Pacific thermocline changes over the past 50 years are the result of changes in global radiative forcing is not clear (*e.g.* Vecchi *et al.* 2006).

The relative intensity of both the mean Equatorial Pacific  $Z_T$  shoaling and the weakening of the equatorial Pacific  $dZ_T$  are related to the relative slowdown of the atmospheric overturning circulation across the various IPCC AR4 models (Fig. 11.a, 11.b). The inter-model variations in the fractional change in  $\omega^+_{500}$  explain about 50% of the inter-model fractional change in equatorial Pacific  $dZ_T$  and  $Z_T$  – correlation coefficients are 0.72 and 0.67, respectively.

The multi-model ensemble also indicates  $Z_T$  changes in the tropical Indian Ocean that are suggestive of a weakening zonal atmospheric overturning circulation: a shoaling of the eastern equatorial Indian Ocean  $Z_T$  and a deepening of the off-equatorial Indian Ocean  $Z_T$  (Fig. 12.a). Alory *et al.* (2007) suggest that anomalous Indonesian Throughflow (ITF) transport, in response to weakened equatorial Pacific easterlies, may play a primary role in the eastern Indian Ocean thermocline shoaling.

The effect of the equatorial  $Z_T$  changes can be seen in the subsurface temperature trends of the multi-model ensemble (Fig. 12.b). The equatorial warming of the ocean is surface-intensified, with the Indian and Pacific basins showing a stronger surface intensification than the Atlantic: the vertical temperature gradient across the equatorial thermocline is increased in these models. Though weaker than the surface warming, the east Pacific, Atlantic and western Indian Ocean thermocline warms fairly

robustly, by 0.7-1.0°C per degree C of global surface warming. Meanwhile, the western Pacific and eastern Indian Ocean exhibit a local minimum in the warming at the thermocline, reflecting the thermocline shoaling. The multi-model ensemble western equatorial Pacific thermocline warms by <0.3°C per degree surface warming. In some models, the western equatorial Pacific  $Z_T$  shoaling results in net local cooling at thermocline depth; the counterintuitive outcome in these models is that global warming results in subsurface western equatorial Pacific cooling.

### 3.2 Tropical Ocean Circulation:

The multi-model ensemble-mean linear trend in the zonal surface current (U<sub>o</sub>) in the tropical Indian and Pacific Oceans (Fig. 14) is characterized by the reduction in the intensity of the principal zonal surface current features. In the Pacific the westward South Equatorial Current (SEC) weakens, as does the eastward North Equatorial Countercurrent (NECC); while in the Indian Ocean there is a tendency for more westward flow at the surface.

The principal features of the multi-model ensemble-mean linear trend in near-equatorial oceanic vertical velocity (W<sub>o</sub>) include an increase in upwelling in the eastern Indian Ocean and a weakening of the equatorial Pacific upwelling (Fig. 15). These ensemble-mean changes are consistent with weakening zonal surface wind convergence over the Maritime Continent, resulting from a weakened Walker circulation. In the Indian Ocean, the anomalous equatorial easterlies result in enhanced Ekman divergence and anomalous equatorial upwelling. The near-surface reduced upwelling in the western equatorial Pacific (centered around 150°E) results from Ekman convergence forced by the westerly wind anomalies, while the reduction in deep upward motion in the eastern equatorial Pacific appears largely related to a flattening in the east-west slope of the equatorial undercurrent (EUC). The weakening the SEC and the reduction in equatorial upwelling was also seen in the 20<sup>th</sup> Century integrations using GFDL CM2.1, a model that also showed a substantial weakening of the Walker Circulation (Vecchi *et al.* 2006).

The magnitudes of the changes in equatorial Pacific zonal and vertical currents in the various IPCC-AR4 models scale well with the changes in atmospheric overturning circulation (Figure 11.c-.d). About half of the inter-model variance in  $\Delta U_o/U_o$  and close to two-thirds of that in  $\Delta W_o/W_o$  is explained by a linear regression to  $\Delta \omega^+_{500}/\omega^+_{500}$ . A similar relationship exists for changes in vertical velocity of the equatorial Pacific east of the Dateline (not shown), where 54% of the inter-model variance is explained.

The reduction of the shallow Pacific overturning in the ensemble-mean model can also be seen in Figure 16, which shows the multi-model ensemble trend in Pacific-mean meridional velocity (averaged 130°E-80°W), scaled by the global-mean temperature change; contours indicate the reference Pacific-mean meridional velocity. The tendency of the ensemble-mean is to reduce the near-equatorial shallow overturning (Figs. 15-16), equatorward of 6° the changes oppose the reference velocities. The reduction in atmospheric circulation modifies the near-equatorial shallow meridional overturning circulation in the near-equatorial Pacific, and the exchange of water between the thermocline and mixed-layer.

Recent observations indicate that, between the 1950s and the 1990s, there was a decrease in the shallow overturning circulation in the tropical Pacific (McPhaden and Zhang 2002), with a rebound between 1998 and 2004 (McPhaden and Zhang 2004). The timing of the observed decrease in circulation is consistent with the observed decadal variations in the strength of the Walker circulation, which showed a strong decrease in intensity through between the 1950s and 1998, and a modest intensification since 1998 (Vecchi *et al.* 2006, Zhang and Song 2006). Most models in the IPCC-AR4 database were unable to reproduce the observed decrease in the late-20<sup>th</sup> Century; MIROC Hi-Res was the only model showing a substantial weakening (Zhang and McPhaden 2006). Additional studies are needed to better understand the relationship between the observed weakening in the Walker circulation and the tropical Pacific Ocean shallow meridional overturning, and the extent to which the weakening in ocean circulation represented a response to global warming or internal climate variability.

In addition to the oceanic circulation changes discussed above, there is a robust weakening of the warm water transport from the Pacific to the Indian Oceans via the Indonesian Throughflow (ITF) in the multi-model ensemble (not shown). The fractional amplitude of the ITF transport weakening is correlated with the weakening of the Walker circulation of each model, with a linear fit to the intermodel differences in the fractional change in dSLP explaining >50% of the inter-model differences in fractional ITF weakening. This relationship is consistent with the observed interannual variations of the ITF in relation to El Niño(La Niña) events, during which there is a reduction(enhancement) of ITF transport (e.g. Wijffels and Meyers 2004). The ITF/Walker circulation relationship in these models is also consistent with the mechanism proposed by Alory et al. (2007) for the observed and modeled relationship between a long-term weakening of the equatorial Pacific easterlies and the shoaling of the eastern Indian Ocean thermocline in the late 20<sup>th</sup> Century. Since large changes in the ITF can influence the character of tropical coupled variations (e.g. Song et al. 2007.b), the response and impact of ITF changes to a warming climate bear examination.

### 4. Summary and Discussion

In this study, we used climate model simulations from the IPCC AR4 archive to examine the response of the atmospheric and oceanic circulation to increasing greenhouse gases. All models simulated a weakening of the convective overturning of mass in the atmosphere as the climate warmed. This weakening was driven by the slower rate of increase of global precipitation (~2%/K) relative to the increase in lower tropospheric water vapor (~7%/K), as described by Held and Soden (2006). The rate of increase in lower tropospheric water vapor is well understood, and closely follows that expected from the Clausius-Clapeyron relationship. The rate of precipitation increase was driven by changes in the surface radiation, but beyond that, the reasons for its smaller increase relative to C-C are not immediately obvious. The fact that in all models the net surface radiation (and hence global

precipitation) increases more slowly than C-C suggests that physical constraints limit its rate of increase, some are discussed by Hartmann and Larson (2002), Larson and Hartmann (2003) and Held and Soden (2006). Further research on the mechanisms that determine the rate of surface radiation response to global warming would help to determine which limitations to these constraints exist.

The modeled weakening of the atmospheric convection in the tropics occured preferentially in the zonally-asymmetric (i.e., Walker) component of the flow rather than the zonal-mean (i.e., Hadley) component. This projection is also consistent across all the models, though the reasons for this strong preference are not clear from our analysis. It may be that the zonal-mean Hadley cell is restricted by other factors, such as meridional energy transport requirements, from decreasing in strength as rapidly, whereas the Walker cell has no such constraints. Over most regions of the tropics, the changes in the atmospheric circulation acted to oppose the background vertical motion at a rate of ~5-10% per degree global warming (Fig. 7). A notable exception was the central equatorial Pacific, where an eastward shift in convection acted to reinforce the ascending motions found there.

The weakened overturning may also have important implications in determining climate sensitivity. For example, the persistence of low cloud cover in the subtropics is closely tied to the strength of mid-tropospheric subsidence and as well as lower tropospheric stability (Klein and Hartmann 1993, Miller 1997, Clement and Seager 1999, Larson *et al.* 1999, Bony *et al.* 2004); although the response of low clouds to a reduction in mid-tropospheric subsidence varies considerably from model to model (Bony and DuFresne 2005). The weaker circulation may be responsible for initiating changes in low cloud cover which are currently thought to provide the largest source of uncertainty in current model estimates of climate sensitivity (Soden and Held 2006, *Webb et al.* 2006) and can act to reinforce El Niño like patterns of response in coupled model simulations (Meehl and Washington, 1996). In addition, the spatial structure of the weakening of mid-tropospheric circulation in these models has been connected to the structure of mid-tropospheric relative humidity changes (Vecchi and Soden 2007).

Modeled changes in both the atmospheric and ocean circulation over the tropical Pacific exhibited a spatial pattern that is suggestive of more "El Niño-like" conditions in a warmer climate. These included a weakening of the Walker circulation and eastward shift of convection over the central equatorial Pacific (Fig 7-8), a reduction in SLP gradients across the equatorial Pacific (Fig 10), a reduction in tilt of the Pacific thermocline and shoaling of the thermocline depth in the western Pacific (Fig 12), and a preferential warming of SSTs along the eastern equatorial Pacific (Fig 8). However, the mechanisms underlying these changes were fundamentally different from those involved in El Niño, and are present in both ocean-mixed-layer and full-ocean-dynamics coupled climate models. In fact, the weakening of the Walker circulation in response to global warming was larger in models with an ocean mixed-layer model than in fully coupled climate models (Figs. 9 and 10): the net effect of ocean dynamical changes in the equatorial Pacific was to counter the thermodynamically-driven weakening of the Walker circulation.

The difference between the equatorial Pacific response of the slab and fully coupled runs is consistent with the 'Ocean Thermostat' mechanism of Clement *et al.* (1996) and Cane *et al.* (1997), which hypothesizes that the coupled response to dynamical upwelling of older ocean water in the eastern Pacific leads to an increase in zonal SST gradients (and a stronger Walker circulation). Although the relevance of the 'Ocean Thermostad' to the stabilized response of the tropical Pacific Ocean has been questioned (*e.g.*, Liu 1998), it may still be active in this transient response of the climate models to changes in radiative forcing. Additionally, the tendency for these models to show larger warming in the equatorial oceans than the subtropical oceans (Liu *et al.* 2005) could act to counter the weakening of the Walker circulation, since in the subtropical regions of warming minimum are where the waters that are eventually upwelled along the Equatorial Pacific are subducted (*e.g.*, Liu 1998, Liu and Huang 1998). However, for the GCMs analyzed here, the atmospheric weakening (Betts and Ridgway 1989, Knutson and Manabe 1995, Betts 1998, Held and Soden 2006) appears to be a dominant control to the change in

intensity of the Walker circulation in a warming climate. Further studies should address the extent to which the 'Ocean Thermostat' or subduction of subtropical waters modulate changes in tropical circulation in this class of models, as well as the interplay between oceanic circulation and the thermodynamic constraints on atmospheric circulation in determining the full character of tropical atmospheric response to global warming.

The similarity between the time-mean tropical Pacific changes in the IPCC-AR4 models and El Niño is not indicative of increased El Niño amplitude or frequency in a warming climate. The response of El Niño to increased CO<sub>2</sub>-forcing in the IPCC-AR4 climate models is quite model dependent (*e.g.* Merryfield 2005, van Oldenborgh *et al.* 2005, Guilyardi 2005, Meehl *et al.* 2005); many factors influence El Niño amplitude and frequency (*e.g.* Wittenberg 2002, Capotondi *et al.* 2006, Guilyardi 2005, Wittenberg *et al.* 2006). We have shown that, in contrast with changes to El Niño, the tendency for a long-term weakening of the Walker circulation in response to a warming climate is a fairly robust characteristic of this class of climate models.

Many aspects of the Indian Ocean response to increased CO<sub>2</sub> are similar to the changes seen during an Indian Ocean Dipole-Zonal Mode (IOD) event (*e.g.* Webster *et al.* 1999, Saji *et al.* 1999, Yamagata *et al.* 2004, Song *et al* 2007.a). For example: the zonal SLP gradient across the equatorial Indian Ocean weakens, there is a weakening of the zonal overturning of air in the Indian basin, there is circulation-induced increase in precipitation in the western Indian Ocean (an area that shows enhanced rainfall during positive IOD events), the eastern equatorial Indian Ocean thermocline shoals, and the equatorial currents weaken. The extent to which the IOD serves as a useful analogue for understanding the monsoonal and other responses to increases in atmospheric CO<sub>2</sub> concentrations should be explored.

The model projected shoaling of the eastern Indian Ocean thermocline is consistent with the observed evolution over the past 40 years (Alory et al 2007), and in 20<sup>th</sup> Century simulations by the IPCC-AR4 models appears to be driven by changes in radiative forcing and related to a weakening of

the Pacific Walker circulation, via a reduction of the Indonesian Throughflow (ITF; Alory *et al.* 2007). A weakening of the the ITF, as well as the Indian Ocean shallow overturning circulation, since the 1950s was documented in a recent study (Schoenefeldt and Schott 2006). Further studies are needed to understand the relationship – if any - between the changes to Indian Ocean circulation in the last 50 years and the response of the global climate system to increased CO<sub>2</sub>.

Many observational studies have found changes in tropical Pacific oceanic and atmospheric conditions consistent with a weakened Walker circulation over the 20<sup>th</sup> Century, including: an overall tendency to "El Niño-like" conditions (Trenberth and Hurrell 1994, Graham 1994, Zhang et al. 1997, Deser et al. 2004, Norris 2005), a weakening of equatorial easterlies (e.g. Harrison 1989, Clarke and Lebedev 1996), coral proxy data that indicate a tendency for lower surface salinity, warmer surface temperatures and reduced upwelling in the central tropical Pacific (e.g. Urban et al. 2000, Cobb et al. 2001, 2003), and proxy data from deep sponges as well as instrumental data indicate a shoaling of the western equatorial Pacific thermocline (Clarke and Lebedev 1997, Moore et al. 2000, Vecchi et al. 2006). Recent studies (Zhang and Song 2006; Vecchi et al. 2006) have also found a systematic weakening of the SLP gradient across the tropical Pacific, consistent with a deceleration of the atmospheric Walker circulation. However, determining the source of multi-decadal changes is complicated by the considerable internal variability to tropical Pacific climate system (e.g., Zhang et al. 1997, Deser et al. 2004, Karspeck et al. 2004, Seager et al. 2004 Vecchi et al. 2006). An recent analysis (Vecchi et al. 2006) showed that the 1861-2000 changes in SLP were inconsistent with that expected from the internal variability of all IPCC-AR4 models; instead, the observed long-term SLP changes were only reproduced when the historical anthropogenic forcing was imposed on a climate model.

Meanwhile, some analyses of SST changes over the 20<sup>th</sup> Century indicate that the system has been tending towards a more La Niña-like state, with the eastern equatorial Pacific actually becoming cooler over time (*e.g.* Cane *et al.* 1997, Hansen *et al.* 2006). However, different reconstructions of

tropical Pacific SST over the instrumental record are inconsistent with each other; linear trends in tropical Pacific SST over the period 1880-2005 exhibit a "La Niña-like" structure when computed using the Kaplan (Kaplan et al. 1998) and the HadISST (Rayner et al. 2003) reconstructions, while trends computed using the NOAA Extended SST reconstruction (Smith and Reynolds 2004) show an "El Niñolike" structure (see Fig. 17). These three products differ in their analysis procedure, the corrections applied to the data before the 1940s (HadISST and Kaplan share a correction algorithm, which differs from that of the NOAA product), and data sources (e.g. the NOAA product uses only in situ measurements over the whole record, while the source data for Kaplan and HadISST includes satellitederived SST starting in the early-1980s; the source data for Kaplan and HadISST include additional in situ observations from the U.K. Meteorological Office Archive not present in the NOAA product). Though the overall tendency for warming is robust, there are discrepancies in the spatial structure of the changes in all three tropical basins. Until the disagreement between the various SST records is resolved, it will be difficult to understand the relationship between the observed SST and SLP records. Were the reconciliation between the various SST datasets to reveal that the SST gradient across the Pacific had been increasing over the past 125 years, this would be inconsistent with a traditional understanding of the relationship between SST- and SLP-gradients and the SLP changes reported by Vecchi et al (2006). This would force one to re-evaluate either the SLP record, or the physical processes connecting SST and SLP on these multi-decadal timescales.

It is likely that aspects of the observed (and future) record – even on multi-decadal timescales - have been (and will be) dominated by natural variability, which may obscure radiatively forced changes. The extent to which El Niño provides a useful analogue for understanding and describing the remote climate response to the tropical atmospheric slowdown in a warming climate bears examination. For example, aspects of the robust increase in tropical Atlantic vertical wind shear in this class of models in response to increase CO<sub>2</sub> is connected with the weakened Pacific Walker circulation (Vecchi and Soden

2007), similar to the El Niño response. Also, since the CO<sub>2</sub>-induced changes project onto many of the characteristics of El Niño, long-term changes may impact the identification of interannual El Niño events for a given reference climatology. Secular changes in the character of El Niño may be obscured by - or arise as an artifact of – radiatively forced changes tropical atmospheric circulation; conversely, the intensity and broad spectral character of unforced tropical Indo-Pacific climate variability poses a substantial challenge to identifying of long-term changes in observational records.

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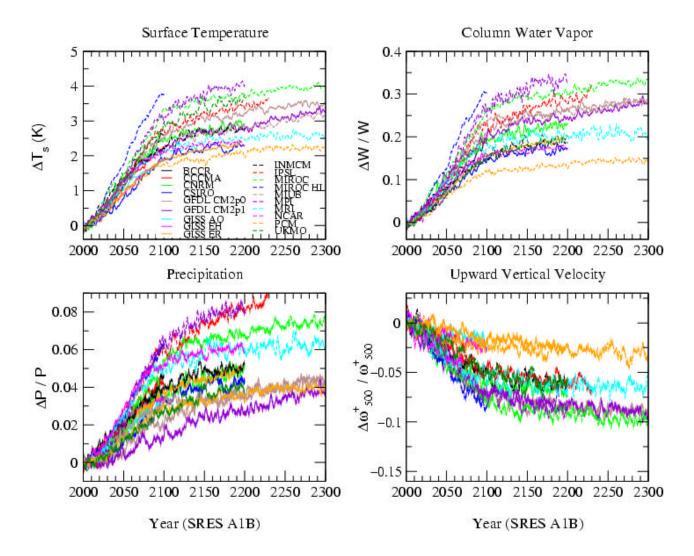
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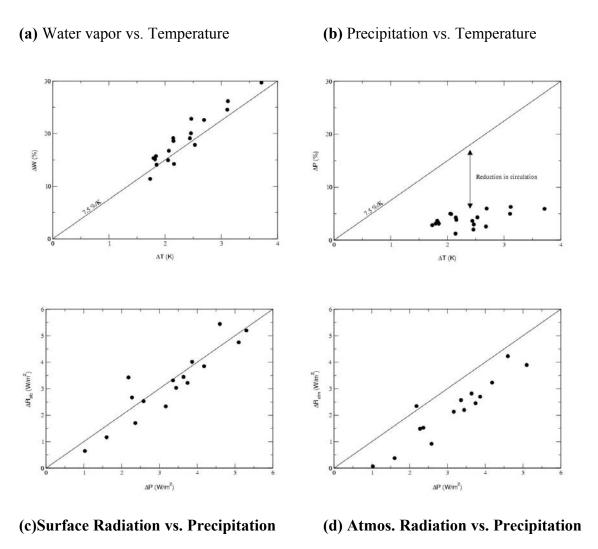
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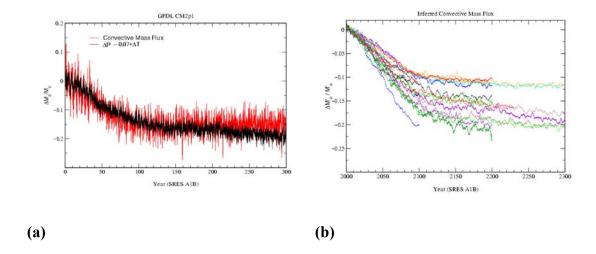
## **Figures**



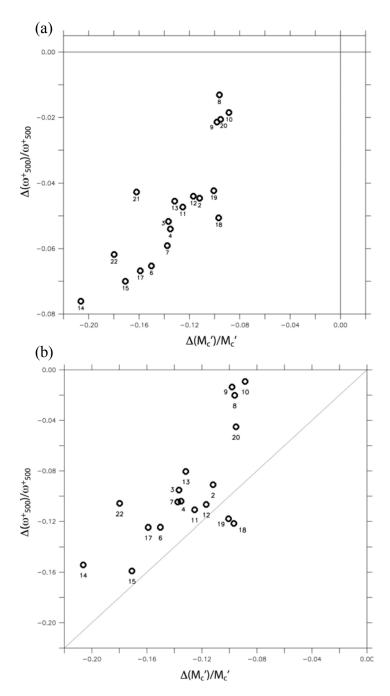
**Figure 1**: Time-series of the global mean response of surface air temperatures (top left), total column water vapor (top right), precipitation (bottom left) and upward component of the monthly mean vertical velocity at 500 hPa (bottom right). The latter three quantities are expressed as the fractional change relative to the first 10 years of the A1B integration (2000-2010). All fields are computed by subtracting the mean seasonal cycle from 2000-2010, global averaging and then differencing the anomalies relative to their average computed over the first 10 years of the simulation (2000-2010).



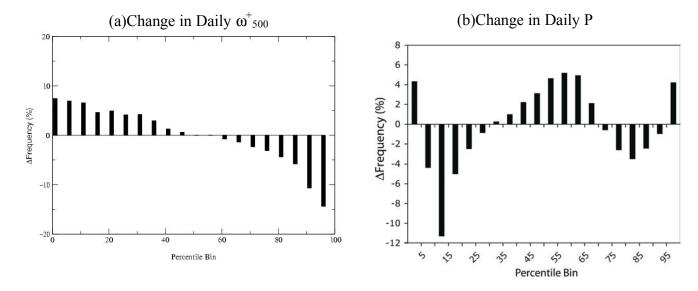
**Figure 2**: Scatter plots of the change in global mean quantities for each of the models listed in Table 1 for which the necessary data was available in the archive. Results are shown for: (a) temperature versus column-integrated water vapor (in %); (b) temperature versus precipitation (in %); (c) precipitation (in W/m²) versus net downward radiation at the surface; and (d) precipitation (in W/m²) versus net radiative cooling of the atmosphere. The differences are computed by subtracting the decadal means from the first 10 years and last 10 years of the 21<sup>st</sup> century as projected by the A1B scenario; i.e., (2090 to 2100)-(2000 to 2010). Panels (a) and (b) reproduce Fig. 2.a and 2.b of Held and Soden (2006).



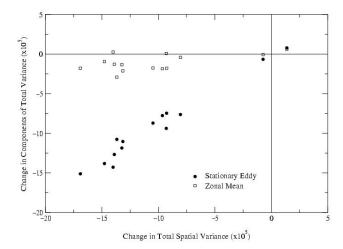
**Figure 3**: (a) Monthly-mean fractional changes in the global mean sub-grid scale convective mass flux in GFDL's CM2.1 model as a function of time in its A1B scenario (red) and the corresponding change in convective mass flux predicted from Eq. (1). (b) The five-year smoothed change in convective mass flux for each of the IPCC AR4 models estimated using Eq. (1).



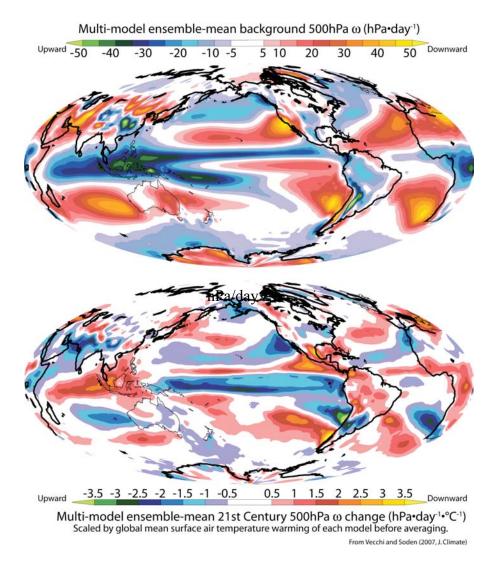
**Figure 4: (a)** Scatter plot of the global-mean fractional change in upward vertical velocity at 500 hPa versus the corresponding change in estimated convective mass from Equation (1) for each of the models listed in Table 1 for which the necessary data was available in the archive. (b) Same as in (a) except for the fractional change in upward vertical velocity with amplitude larger than 0.05 Pa/sec; the 1-to-1 line is plotted. The numbers at each symbol refer to the model numbers in Table 1.



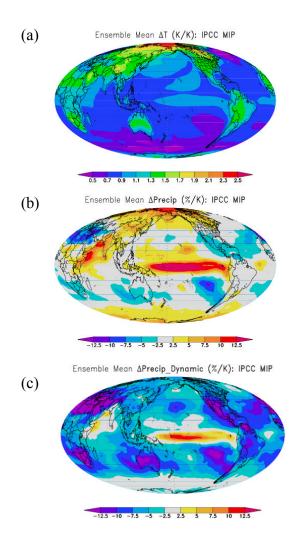
**Figure 5:** The percentage change in the frequency of occurrence of: (a)  $\omega^+_{500}$  as a function of updraft strength, and (b) precipitation as a function of precipitation intensity, using daily output from the GFDL CM2p1 for the entire globe. The distribution function was calculated using 20 bins each of which contains 5% of the total distribution for the control (e.g., 0-5%, 5-10%, etc.). The thresholds for each bin were computed using the first 5 years of the A1B integration (2000-2005). The same bin thresholds were then used to compute the distribution over the last 5 years of the 21<sup>st</sup> Century (2095-2100) from the same integration. The plot depicts the percentage change in each bin between the last 5 years and first 5 years of the 21<sup>st</sup> Century under the A1B scenario.



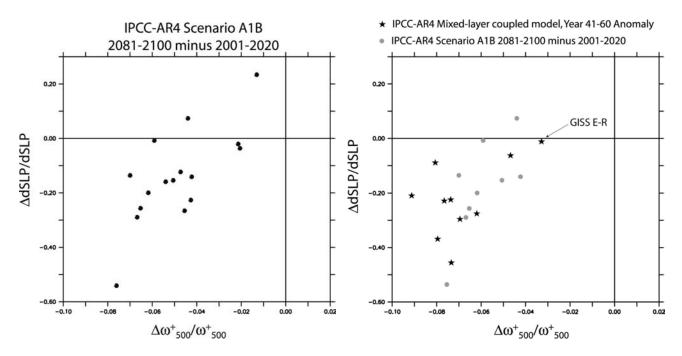
**Figure 6**: Scatter plot of the change in spatial variance of  $\omega^+_{500}$  over the tropics. Results are shown separately for the change in zonal-mean (open) and stationary eddy (filled) components of the variance as a function of the change in total variance. The differences are computed by subtracting the decadal means from the first 10 years and last 10 years of the 21<sup>st</sup> century as projected by the A1B scenario; i.e., (2090 to 2100)-(2000 to 2010).



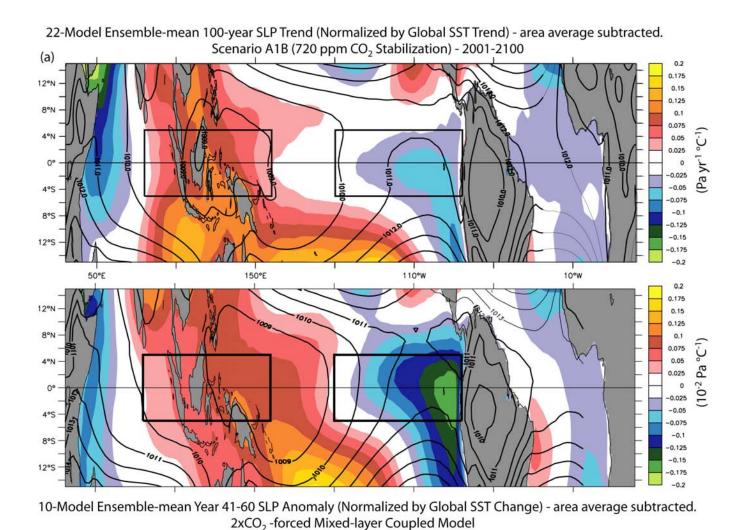
**Figure 7**: The multi-model (a) ensemble-mean  $\omega_{500}$  and (b) ensemble-mean change in  $\omega_{500}$  per degree global warming; positive values are downward. The change is computed by differencing the decadal-mean  $\omega_{500}$  from the first and last 10 years of the 21<sup>st</sup> Century for, normalizing this difference by the change in global mean temperature for each model, and then averaging the result across all models. The units are hPa/day (top) and hPa/day/K (bottom).



**Figure 8**: The multi-model ensemble-mean: (a) changes in surface air temperature and (b) percentage change in precipitation, per degree global warming. The bottom panel, (c), shows the difference between the rate of C-C moistening (7%/K) based on the ensemble-mean surface air temperature change (top) and the ensemble-mean rate of precipitation increase (middle). The ensemble mean changes for all fields were computed for the same periods as in Figure 7 and the results for each model are first normalized by the global mean temperature response before averaging across the ensemble of models. The units are K/K (top) and %/K (middle, bottom).



**Figure 9: Left:** Scenario A1B scatter plot of the fractional change in the zonal SLP gradient (dSLP) versus fractional change in upward vertical velocity ( $ω^+_{500}$ ) for the period (2100 to 2090) minus (2010 to 2000). **Right:** Scatter plot of "Slab" model response to CO<sub>2</sub> doubling, the fractional change in the zonal SLP gradient (dSLP) versus fractional change in upward vertical velocity ( $ω^+_{500}$ ). Stars show the response of ten mixed-layer coupled models doubled CO<sub>2</sub>, anomalies computed from control run over years 41-60. Gray circles show the Scenario A1B fraction change in dSLP and  $ω^+_{500}$  for the same nine models (GISS-ER is not shown for Scenario A1B).



**Figure 10:** Multi-model ensemble-mean sea level pressure (SLP) changes forced by increased CO<sub>2</sub> (shading) and reference SLP field (contours). Upper panel, (a), shows the 22-model average of the linear least-squares trend of SLP over 2001-2100 of Scenario A1B, with each model normalized by the global-mean surface temperature trend over the same period; reference is the average over 2001-2020 in hPa. Lower panel, (b), shows the ten-model average of the Year 41-60 SLP anomaly from the ocean mixed-layer coupled experiments forced by 2xCO<sub>2</sub> (anomalies computed by subtracting mixed-layer control run over same period); reference is Year 41-60 average from control run in hPa. Black boxes indicate regions used to compute SLP-based Walker circulation index (dSLP).

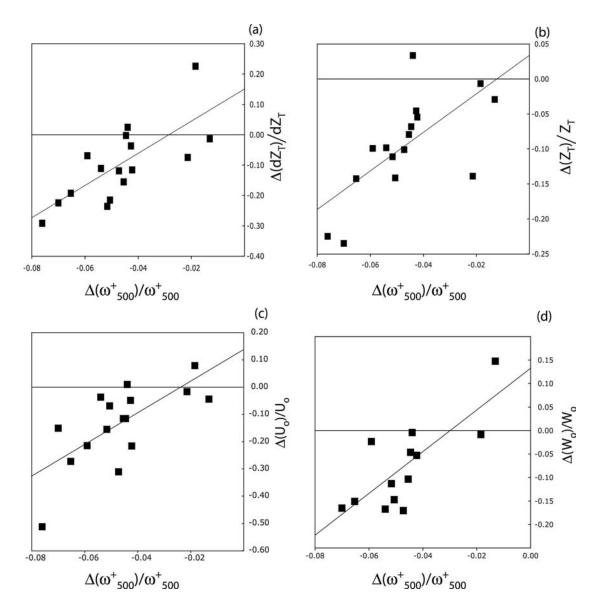


Figure 11: Scatter plots of fractional change in mid-tropospheric upward motion and equatorial Pacific Ocean fractional changes. In all panels the horizontal axis is Dw500up/<w500up>. Vertical axes are: (a) Change in Thermocline zonal slope across equatorial Pacific divided by initial slope; (b) change in zonal-mean thermocline depth across equatorial Pacific divided by initial depth; (c) change in equatorial Pacific zonal-mean surface current divided by initial surface current; and (d) change in equatorial Pacific vertical velocity (averaged 0-200m, 2°S-2°N, 180°E-80°W) normalized by initial vertical velocity. The inter-model difference in change of mid-tropospheric upward motion explains close to 50% of the inter-model variance in the oceanic quantities considered.

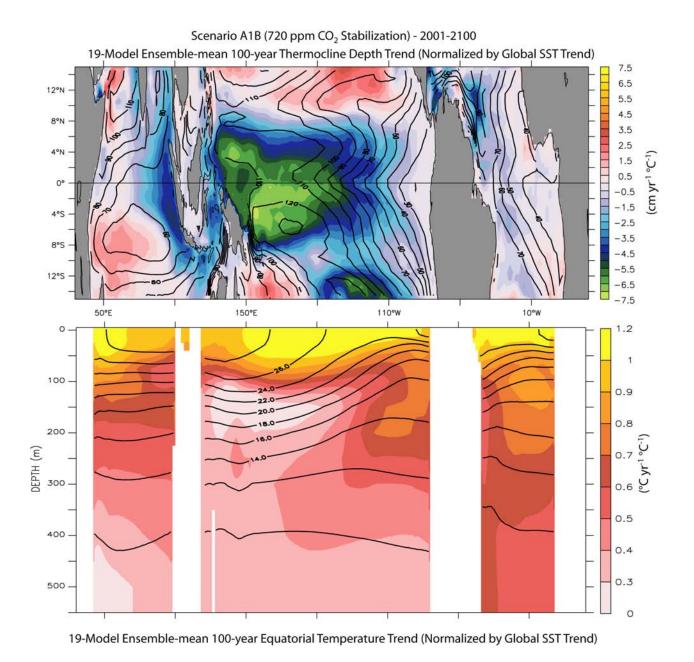
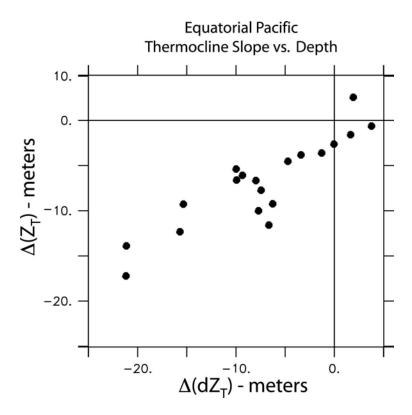
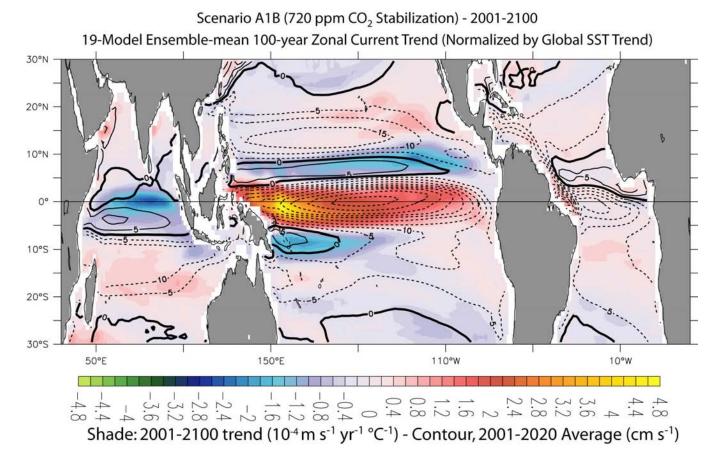


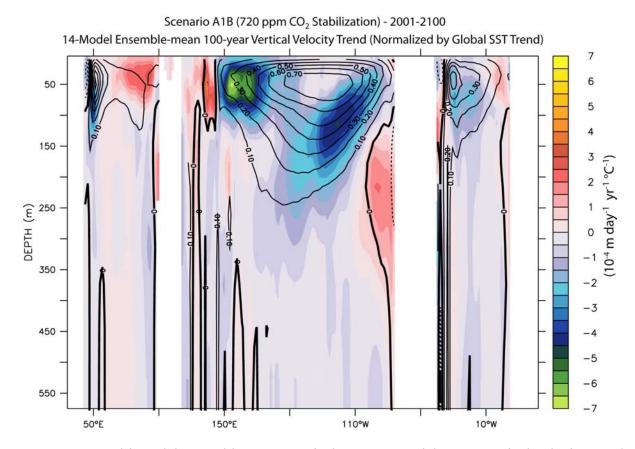
Figure 12: Multi-model ensemble-mean tropical ocean thermal structure trends forced by increased CO<sub>2</sub> (shading) and reference (contours). Upper panel shows thermocline depth changes, lower panel shown 2°S-2°N averaged temperature changes over upper 500 meters. Trends are the 19-model average of the linear least-squares trend of each quantity over 2001-2100 of Scenario A1B (see Table 1), with each model normalized by the global-mean surface temperature trend over the same period. Reference is the 2001-2020 average of thermocline depth (upper panel, meters) and 2°S-2°N temperature (lower panel, °C).



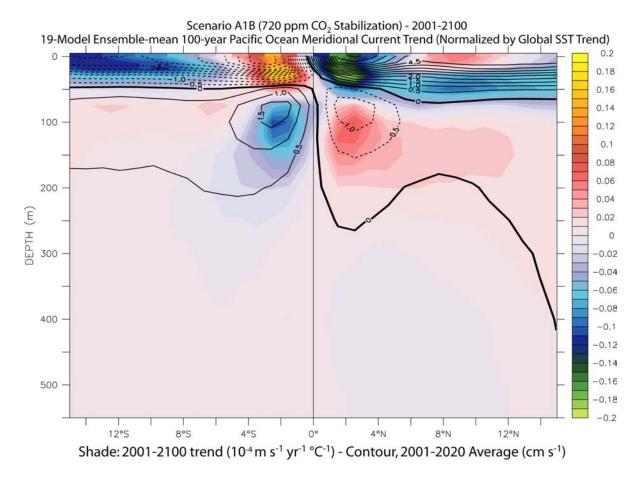
**Figure 13**: Change in equatorial Pacific thermocline depth vs. change of equatorial Pacific thermocline slope for Scenario A1B of 19 IPCC-AR4 models with available data (see Table 1). Horizontal axis shows the change in equatorial Pacific thermocline slope (difference between thermocline depth averaged 3°S-3°N, 130°E-170°E and that averaged 3°S-3°N, 120°W-80°W). Vertical axis shows the mean equatorial Pacific thermocline depth (averaged 130°E-80°W, 3°S-3°N). Change for each quantity is the difference between the 2081-2100 average and the 2001-2020 average.



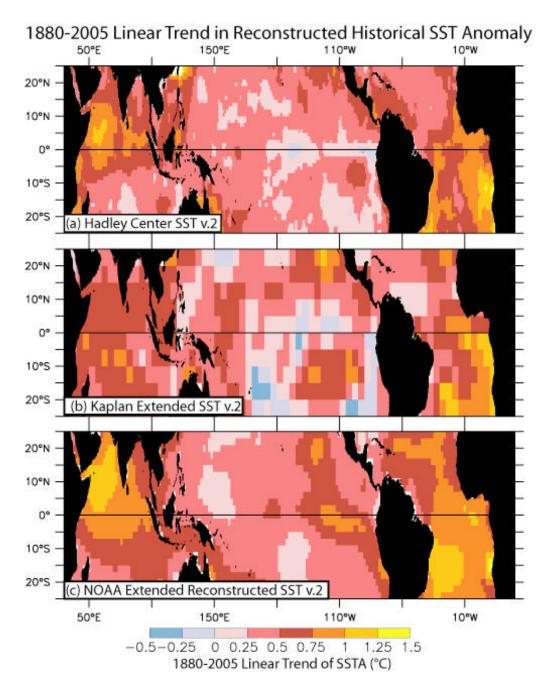
**Figure 14**: Multi-model ensemble-mean tropical ocean surface zonal current trends forced by increased CO<sub>2</sub> (shading) and reference (contours). Surface current defined as that of the upper grid-box of each model. Shading shows the 19-model average of the linear least-squares trend of surface zonal current over 2001-2100 of Scenario A1B, with each model normalized by the global-mean surface temperature trend over the same period. Reference is the 2001-2020 average, with units of cm s<sup>-1</sup>.



**Figure 15**: Multi-model ensemble-mean tropical near-equatorial ocean vertical velocity trends forced by increased CO<sub>2</sub> (shading) and reference (contours). Shading shows the 14-model average of the linear least-squares trend of near-equatorial (2°S-2°N average) vertical velocity over 2001-2100 of Scenario A1B, with each model normalized by the global-mean surface temperature trend over the same period. Reference is the 2001-2020 average, with 0.1 m day<sup>-1</sup> contour interval.



**Figure 16:** Multi-model ensemble-mean tropical Pacific Ocean meridional velocity trends forced by increased CO<sub>2</sub> (shading) and reference (contours). Shading shows the 18-model average of the linear least-squares trend of tropical Pacific (130°E-80°W average) vertical velocity over 2001-2100 of Scenario A1B, with each model normalized by the global-mean surface temperature trend over the same period. Reference is the 2001-2020 average, with 0.5 cm sec<sup>-1</sup> contour interval.



**Figure 17:** Linear trend in historical SST anomaly, from two different reconstructions: (a) Hadley Center SST (Rayner *et al.* 2003), (b) Kaplan *et al.* (1998) and (c) Smith and Reynolds (2004) products. Shading indicates the change between January 1880 and December 2005 of a linear-least-squares trend fit to each monthly time-series at each point. Monthly-mean climatology removed before computing linear-trend. Units are °C.

Table

Model Name	Country	Oceanic Resolution	Atmospheric	Reference	Ocean	Slab
			Resolution		Data	
1 BCCR BCM2.0	Norway	2.4°x2.4°(0.8°) σ24	T63 L31	Furevik et al (2003)	Yes*	
2 CCSM3 T47	Canada	1.85°x1.85° L29	T47 L31	Kim et al (2002)	Yes	
3 CCSM3 T63	Canada	1.85°x1.85° L29	T63 L31	Kim et al (2002)	Yes	
4 CNRM CM3	France	2°x0.5° L31	T63 L45	Salas y Mélia et al (2005)	Yes	
5 CSIRO Mk3.0	Australia	1.875°x0.84° L31	T63 L18	Gordon et al (2002)	Yes*	
6 GFDL CM2.0	USA	1°x1° (1/3°) L50	2.5°x2° L24	Delworth et al (2006)	Yes	Yes
7 GFDL CM2.1	USA	1°x1° (1/3°) L50	2.5°x2° L24	Delworth et al (2006)	Yes	Yes
8 GISS AOM	USA	4°x3 L16	4°x3° L12	Lucarini and Russell (2002)	Yes	
9 GISS-EH	USA	2°x2° L16	5°x4° L20	Schmidt et al (2005)	Yes	
10 GISS-ER	USA	5°x4° L13	5°x4° L20	Schmidt et al (2005)	Yes	Yes
11 IAP FGOALS	China	1°x1° L33	T42 L26	Yu et al (2004)	Yes	
12 INM CM3.0	Russia	2.5°x2° L33	5°x4° L21	Volodin and Diansky (2004)	Yes	Yes
13 IPSL CM4	France	2°x1° L31	2.5°x3.75° L19	Marti et al. (2005)	Yes	
14 MIROC Hi	Japan	0.28°x0.19° L47	T106 L56	K-1 model developers (2004)	Yes*	Yes
15 MIROC Med	Japan	1.4°x0.5° L43	T42 L20	K-1 model developers (2004)	Yes	Yes
16 MIUB ECHO-G	Germany	2.8°x2.8° L20	T30 L19	Min et al. (2005)	Yes	
	/Korea					
17 MPI ECHAM5	Germany	1.5°x1.5° L40	T63 L31	Jungclaus et al (2005)		Yes
18 MRI CGCM2.3	Japan	2.5°x0.5° L23	T42 L30	Yukimoto and Noda (2002)	Yes	Yes
19 NCAR CCSM3	USA	1.1°x1.1° (0.27) L40	T85 L26	Collins et al. (2005)	Yes	Yes
20 NCAR PCM1	USA	2/3°x1/2° L32	T42 L18	Washington et al (2000)		
21 UKMet HadCM3	UK	1.25°x1.25° L30	3.75°x2.5° L19	Gordon et al (2000)	Yes*	
22 UKMet HadGem1	UK	1°x1° (1/3°) L40	1.875°x1.25° L38	Johns et al. (2004)		Yes
	<u> </u>	1 0 1 1 0	· A1D 14	1.1. 1	1	<del></del> _

**Table 1**: IPCC-AR4 models from which Scenario A1B data is available and were used in this analysis.

An '\*' indicates that oceanic vertical velocity was not available. Atmospheric vertical velocity data was not available from BCCR BCM2.0, CSIRO Mk3.0 and MIUB-ECHO-G.